

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2011-09-16
Date of Last Change to Activities: 2012-07-26
Investment Auto Submission Date: 2012-02-29
Date of Last Investment Detail Update: 2012-02-29
Date of Last Exhibit 300A Update: 2012-07-26
Date of Last Revision: 2012-07-26

Agency: 009 - Department of Health and Human Services
Medicaid Services

Bureau: 38 - Centers for Medicare and

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: CMS Accountable Care Organizations

2. Unique Investment Identifier (UII): 009-000281783

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

On March 23, 2010, the Patient Protection and Affordable Care Act (Pub. L.111-148), and the Health Care and Education Reconciliation Act of 2010 (Pub. L.111-152), amends certain provisions of Pub. L.111-148, collectively known as the Affordable Care Act (ACA), was enacted. ACA includes a number of provisions designed to improve the quality of Medicare services. Including provisions to expand value-based purchasing; broaden quality reporting; improve the level of performance feedback available to providers; and create incentives to enhance quality, improve beneficiary outcomes, and increase the value of care. Section 3022 of ACA calls for the establishment of the Medicare Shared Savings Program (SSP) by January 1, 2012, which supports the development of Accountable Care Organizations (ACO). Section 3021 of ACA establishes and authorizes the Innovation Center to test new payment and service delivery models that have the potential to improve quality and reduce costs. One initiative is the Pioneer ACO Model, intended to test an accelerated risk payment model for ACOs. This investment provides technical assistance support for CMS to implement the financial and quality performance determination of both initiatives. Also perform functions required to operate ACOs and evaluate effectiveness of care coordination to improve quality and care to Fee-For-Service Medicare beneficiaries assigned to it, in accordance with the final rule and request by CMS. Also, assist in data sharing, ACO eligibility verification,

beneficiaries assignment, calculating annual expenditures, calculate and apply performance and quality scores, calculate shared savings, and program reporting. CMS IT infrastructure will be utilized in collaboration with outside contractors for design, development, quality assurance, maintenance, help desk support, inquiry, evaluation, monitoring, education, and outreach. Systems developed will encompass a variety of IT developmental and project management activities associated with business intelligence development, database development, data dissemination, web portal application development and data analytics to support ACOs current and future program needs. This investment is dependent on shared services data environments within the CMS framework including, Integrated Data Repository (IDR), National Claims History (NCH), Master Data Management, Baltimore Data Center Hosting, and any Business Intelligence Software applicable.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

The Medicare Shared Savings Program (SSP) ACO is a new investment established by Section 3022 of the Affordable Care Act, which requires establishment of the program by January 1, 2012. While legislation does not provide a technical solution to implement this program, CMS is requesting funding for investment to share data, conduct eligibility verification, beneficiary assignment, calculating annual expenditures, calculate and applying performance and quality scores, calculate shared savings, and program reporting. We anticipate approximately three hundred SSP ACOs within the first three years and 30 Pioneer Model ACOs in year one. The required work performed will necessitate quick turnaround on many projects, due to statutory deadlines of establishing the program by January 1, 2012 and practical issues necessary for program success. Due to the heavy dependency of the integration and dependency of CMS IT infrastructure, collaboration with contractors for programming, design, system development, and ongoing system maintenance. This investment supports the Federal Health IT policy principles and improves healthcare. Operating the SSP ACO is heavily dependent on the funding of this investment. Not fully funding this investment will significantly hinder operating the technical components of the SSP and ACO.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

In FY2011, we were successful in creating an Operations workgroup and sub-workgroups consisting of personnel across the agency to develop operating documents, which assisted in shaping the final rule, Business Process Models and Business Requirements. Program Report development and methodology specification documents were developed to support the implementation contractor and OIS's efforts in the implementation of the program. An Application Support contractor was awarded to develop the system to accept program applications. Currently, the implementation contractor and OIS contractors are working jointly to program the IDR to assign beneficiaries and calculate per capita expenditures, and additional reports to begin the program by January 1, 2012.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

Current Year (CY): Publish the Final Rule. Implement the SSP ACO program, with the first ACOs becoming effective 1/1/2012. Award the Program Analysis (PAC), Monitoring contract and various contracts and contract modifications through OIS's data infrastructure. Accepting applications to participate in program through the application support system Installation, configuration, and administration of IT infrastructure to assign beneficiaries, calculate per capita expenditures, and determine eligibility through the use of the IDR and planned MDM system. Share and disseminate claims data and program reports with ACOs. Monitor ACO Activity. Develop, update, and maintain quality measure specification. Develop, update, and maintain technical and administrative documents. Budget Year (BY) Updates and Maintain technical and administrative documents Monitor ACO Activities Develop, update, and maintain quality measure specification. Calculate and apply performance and quality scores. Calculate shared savings/shared losses. Distribute shared savings to ACOs. Accept applications for agreement period effective January 1, 2013.

- 5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-08-26

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$9.7	\$0.0
DME (Excluding Planning) Costs:	\$0.0	\$0.0	\$14.4	\$8.1
DME (Including Planning) Govt. FTEs:	\$0.0	\$2.3	\$1.2	\$1.1
Sub-Total DME (Including Govt. FTE):	0	\$2.3	\$25.3	\$9.2
O & M Costs:	\$0.0	\$0.0	\$0.0	\$11.0
O & M Govt. FTEs:	\$0.0	\$0.0	\$1.2	\$1.2
Sub-Total O & M Costs (Including Govt. FTE):	0	0	\$1.2	\$12.2
Total Cost (Including Govt. FTE):	0	\$2.3	\$26.5	\$21.4
Total Govt. FTE costs:	0	\$2.3	\$2.4	\$2.3
# of FTE rep by costs:	0	23	23	23
Total change from prior year final President's Budget (\$)		\$2.3	\$26.4	
Total change from prior year final President's Budget (%)				

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

Funding levels have changed due to the implementation analysis of starting a new program, which previously did not exist. The ACO program will become effective January 1, 2012.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7530	HHSM500200800356G	GS35F4052G	4730							
Awarded	7530	HHS50020090000211	HHSM5002007	7530							
Awarded	7530	HHSM500T0002	HHSM500200700010I	7530							
Awarded	7530	HHSM500T0002	HHSM500200900021I	7530							
Awarded	7530	HHSM500B0016	HHSM500200500001B	7530							
Awarded	7530	HHSM5000001	HHSM500200700014I	7530							
Awarded	7530	HHSM5000001	HHSM500200900002I	7530							
Awarded	7530	HHSM500B0020	HHSM500200500001B	7530							
Awarded	7530	HHSM500201000134G	GS35F4902H	4730							
Awarded	7530	HHSM500T0001	HHSM500300700011I	7530							
Awarded	7530	HHSM500T0001	HHSM500200700010I	7530							
Awarded	7530	HHSM500T0002	HHSM500200700016I	7530							

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-07-26

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
289722	ACO Accountable Care Organizations	The purpose of this project is to initiate technical solutions to implement the Medicare Shared Savings Program ACO technical operations. This project encompasses the technical solution to share data, conduct eligibility verification, assign beneficiaries, calculate annual expenditures, calculate and apply performance and quality scores, calculate shared savings, and report on programs. Due to the heavy dependency on the integration of CMS IT infrastructure, collaboration with contractors for programming, design, system development, and ongoing system maintenance, operating the SSP ACO is heavily dependent on the funding of this project.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
289722	ACO Accountable Care Organizations							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
289722	289722: Pioneer Pilot (MDM Proof of Concept and Data Sharing)	Initiate MDM Proof of Concept, Data Sharing/Dissemination, iLog Rules Development, Engineering Analysis	2011-12-27	2012-05-12	2011-12-27	209	0	0.00%
289722	289722: SSP Application	SSP HPMS Application Module	2011-12-30	2011-12-30	2011-12-02	259	28	10.81%
289722	289722: IBM - Initiate Licenses (1st Installment Payment)	Initiate Licenses to support the Master Data Management	2011-12-30	2012-06-29	2011-12-30	196	0	0.00%
289722	289722: Baltimore Data Center Hosting	Build out Development/Testing Environments, Build out Enterprise File Transfer Environment to support Pioneer/SSP, Initiate Infrastructure and ACO Technical Assistance	2011-12-31	2011-12-31	2011-12-31	244	0	0.00%
289722	289722: Pioneer Pilot (MDM Proof of Concept and Data Sharing) - continuation of MBDSS ACO	MDM Proof of Concept and Data Sharing	2012-02-29	2012-02-29		90	-184	-204.44%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
289722	289722: Oracle and DB2 Database Support	Modification to Existing Contract for ACO Oracle and DB2 Database related support	2012-03-31	2012-05-31		183	-153	-83.61%
289722	289722: Full Requirements	Continuation of the JAD sessions in greater detail developing detailed Business Requirement for SSP/Pioneer	2012-04-25	2012-04-25	2012-04-25	376	0	0.00%
289722	289722: CLIN 0001AB-Optional Design, Develop, Test, Implement and Maintain Additional Programs in support of the Affordable Care Act	Pioneer Design and Development December 2011 - May 2012	2012-05-27	2012-05-27		178	-96	-53.93%
289722	289722: CLIN 0001-Base Year-SOW Section C.6-SOW Enterprise Data Service Contract	MDM (Initiate) (Pioneer and SSP) December 2011 - May 2012	2012-05-27	2012-05-27		178	-96	-53.93%
289722	289722: CLIN 0002-Base Yr-Optional Services SOW Sec C6-SOW Enterprise Data Service Contract	MDM Enterprise Portal (SSP) Base YR December 2011 - May 2012	2012-05-27	2012-05-27		178	-96	-53.93%
289722	289722: CLIN 0001AA-Transition in all Task	Transitional Task from the incumbent to the ICD&A Contractor	2012-05-30	2012-11-27		177	-181	-102.26%
289722	289722: CLIN 0001AC Optional Design, Develop, Test, Implement and Maintain Additional Programs in support of the Affordable Care	SSP Design and Development December 2011 - May 2012	2012-05-30	2012-07-27		181	-93	-51.38%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	Act							
289722	289722: IDR Development Support	IDR Development to support Alignment/Assignment	2012-05-31	2012-05-31		280	-92	-32.86%
289722	289722: Program Managment/Requirements	ACO Program/Demonstration Project Management Support	2012-06-05	2012-06-05		365	-87	-23.84%
289722	289722: IDR O&M Support	Integrated Data Repository (IDR) O&M Support	2012-06-14	2012-06-14		311	-78	-25.08%
289722	289722: IBM Initiate Licenses (2nd Installment Payment)	IBM Initiate Licenses 2nd Installment Payment for additional licenses	2012-06-29	2012-06-29		378	-63	-16.67%
289722	289722: ACO Testing-CLIN 0001AA-Base Year-Test the Pioneer Fast Track ACO DEMO (excluding MDSS) and the Medicare Shared Savings Program System	Pioneer Testing Option 12/13/12 - 06/12/13	2012-07-16	2012-07-16		216	-46	-21.30%
289722	289722: Quality Center/Quick Test Pro Licenses (Testing Contractor)		2012-07-31	2012-07-31		181	-31	-17.13%
289722	289722: IDR Teradata Capacity (Initial Funding)	Teradata Additional Funding	2012-07-31	2012-07-31		181	-31	-17.13%
289722	289722: IDR Teradata Capacity (Additional Funding)	Teradata Additional funding	2012-07-31	2012-07-31		181	-31	-17.13%
289722	289722: BI Reporting/Support	Business Intelligence Report Development and Support	2012-08-12	2012-08-12		367	-19	-5.18%
289722	289722: Baltimore	Baltimore Data Center	2012-08-28	2012-08-31		181	-3	-1.66%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	Data Center Hosting O &M Support	Operations & Maintenance in support of the SSP and Pioneer Programs (optional year)						
289722	289722: Develop Data Report Specs	Task 10 Develop Data Report Specification documents (SSP and Pioneer)	2012-09-30	2012-09-30		365	0	0.00%
289722	289722: Develop Specifications and Beneficiary Assignment SSP	Task 2 - Develop Specifications and Beneficiary Assignment SSP	2012-09-30	2012-09-30		365	0	0.00%
289722	289722: Develop Specifications and Beneficiary Assignment Pioneer	Task 3 - Develop Specifications and Beneficiary Assignment Pioneer	2012-09-30	2012-09-30		365	0	0.00%
289722	289722: Conduct Financial Calculations SSP	Task 5 SSP - Per Capita Expenditure and Benchmark Calculations	2012-09-30	2012-09-30		365	0	0.00%
289722	289722: Conduct Financial Calculation Pioneer	Task 6 Pioneer Per Capita Expenditure and Benchmark Calculations	2012-09-30	2012-09-30		365	0	0.00%
289722	289722: Calculate Population-Based Payments Pioneer	Task 7 Calculate Population-Based Payments for the Pioneer ACO Model	2012-09-30	2012-09-30		304	0	0.00%
289722	289722: Generate Monthly Financial Reports Pioneer (Optional)	Task 8 Generate Monthly Financial Reports for the Pioneer ACO Model (Optional)	2012-09-30	2012-09-30		304	0	0.00%
289722	289722: CLIN 0001AB- Optional Design, Develop, Test, Implement and Maintain Additional	Pioneer Design and Development Base YR June 2012 - November 2012	2012-11-27	2012-11-27		183	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	Programs in support of the Affordable Care Act							
289722	289722: CLIN 0002-Base Yr-Optional Services SOW Sec C7-SOW Enterprise Data Service Contract	MDM Enterprise Portal (SSP) Base YR June 2012 - November 2012	2012-11-27	2012-11-27		545	0	0.00%
289722	289722: Business Intelligence Reporting/Support	Business Intelligence Report Development and Support (optional year)	2012-11-30	2012-11-30		182	0	0.00%
289722	289722: IBM Initiate Licenses (2nd Installment Payment)		2012-12-29	2012-12-29		561	0	0.00%
289722	289722: IDR O&M Support	Integrated Data Repository (IDR) Operations & Maintenance Support (option year)	2012-12-31	2012-12-31		183	0	0.00%
289722	289722: Baltimore Data Center Hosting Infrastructure for MSSP & MDM	Modification to Existing Baltimore Data Center CITIC Contract to support the Initiate Master Data Management buildout, infrastructure , File Dissemination for the SSP and Pioneer Programs	2012-12-31	2012-12-31	2011-12-31	458	366	79.91%
289722	289722: ACO Testing-CLIN 0001AB -Base Year Optional Test Additional programs in support of the Affordable Care Act	SSP Testing Option 12/13/12 - 06/12/13	2013-07-16	2012-07-16		215	319	148.37%
289722	289722: Oracle and DB2 Database Support	Operational ACO SSP and Pioneers Database Support to the Programs	2013-07-31	2012-07-31		546	334	61.17%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)

(optional year)

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Percent of ACOs that successfully receive transmitted program data reports (monthly/quarterly/annually)	percentage	Process and Activities - Productivity	Over target	0.000000	0.000000		90.000000	Monthly
Percent of ACOs that successfully receive transmitted program data reports through EFT (monthly/quarterly/annually)	percentage	Customer Results - Service Accessibility	Over target	0.000000	0.000000		75.000000	Monthly
Percent of SSP ACO applications received through the automated application system	percentage	Customer Results - Service Accessibility	Over target	0.000000	0.000000		75.000000	Quarterly
Percent of excluded ACO participants from MDM per ACO	percentage	Process and Activities - Quality	Under target	0.000000	0.000000		15.000000	Quarterly
Percent of Accurate per capita expenditure calculated per ACOs	percentage	Process and Activities - Quality	Over target	0.000000	0.000000		95.000000	Quarterly
Percent of Accurate benchmark calculations per ACO (preliminary/Final/Annual)	percentage	Mission and Business Results - Services for Citizens	Over target	0.000000	0.000000		95.000000	Quarterly